Outline

Understand the Legal System

Statutes
Rules and regulations
Case law
Finding the law

6.2

Legal Exposure

Criminal liability
Civil liability
Licensing complaints
Organization complaints

Establish the Treatment Relationship

The professional relationship
The legal and ethical principle of
informed consent
Informed consent as part of the
treatment modality
Use of and reliance upon written
consent forms

Record-Keeping Practices

Record-keeping regulations
Items which constitute the record, persona notes vs. charting, raw data, computer printouts and third-party records
Access to records by written requests, subpoenas and court orders

The Ethics of Duty to Warn

Duty to warn potential third-party victims Review of specific Tennessee case law governing danger to others A clinical approach to the duty to protect

Treatment of Minors

Age of majority
Consent to treatment and access to records
Clinical implications in the
treatment of the older adolescent
Treatment of minors in situations of
family separation and divorce

Non-Sexual Boundary Violations

Email correspondence and cell phone contacts
Treatment vs. advocacy for patients
Professional contacts with attorneys and the legal profession
In-court expert testimony

Live Webcast Schedule (Times listed in Central)

8:30 Program begins

11:50-1:00 Lunch Break

4:30 Program ends

There will be two 15-min breaks (mid-morning & mid-afternoon).

Actual lunch and break start times are at the discretion of the speaker

A more detailed schedule is available upon request.

Questions? Visit our FAQ page at www.pesi.com/faq or contact us at www.pesi.com/info

Objectives

- 1. Identify specific state and administrative laws that are pertinent to establishing and maintaining an ethical clinical practice.
- 2. Write policies on ethical issues such as restraints, seclusion and informed consent that are consistent with state laws and requirements.
- 3. Explain which notes, documents, reports, forms, and clinical data constitute an official medical record.
- 4. Evaluate your current record-keeping practices in order to ensure compliance with ethical and legal requirements.
- 5. List the treatment provider's responsibilities relating to mental health issues and duty to warn law.
- 6. Analyze the legal and ethical considerations for the treatment of minor populations with regard to age of consent, confidentiality and custody concerns.

Target Audience: Counselors • Social Workers • Psychologists • Case Managers • Addiction Counselors Therapists • Marriage & Family Therapists • Other Mental Health Professionals • Nurses





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A licensed and practicing psychologist and attorney, Dr. Allan Tepper's blend of clinical and legal experience allows for a deeper understanding and interpretation of the rules that govern mental health practice in Tennessee and allows the attendee to identify specific state laws that affect and govern their practice. Through lecture, real case examples, a review of references including the APA Ethical Principles of Psychologists and Code of Conduct and the seminar manual with state specific policies and regulations; clinicians attending the course will be able to write policies for their practice, explain the content of medical records

requirements and analyze their practice's compliance with state specific ethical and legal requirements. This course will help the practicing clinician to evaluate their record keeping practices and determine which notes and documents are required for medical records to be compliant. Finally, attendees will discuss the provider's responsibilities related to confidentiality and duty to warn with both adult and minor populations.

Speaker ___

Allan M. Tepper, J.D., Psy.D., is engaged in the private practice of both law and psychology in Philadelphia, Pennsylvania. As a psychologist, he specializes in forensic matters and has testified on numerous occasions in cases involving civil, criminal, domestic relations, and death penalty issues. As an attorney, he has extensive trial experience, including the representation of mental health professionals in ethics and licensing board proceedings. He has been an adjunct faculty member at Widener University in the Institute for Graduate Clinical Psychology and at the Philadelphia Chapter of Great Lakes Colleges Association, and an adjunct assistant professor at Immaculate College, Graduate School. Dr. Tepper frequently conducts ethics training for mental health professionals throughout the United States and is well-known for his engaging style and knowledge of each State's laws and regulations. He publishes frequently in the areas of law and psychology. He is co-author of *Pennsylvania Law* and Psychology – Third Edition, and Pennsylvania Law, Ethics, and Psychology – Fourth Edition. He received his J.D. degree from Albany Law School and received M.S. and Psy.D. degrees in clinical psychology from Hahnemann University in Pennsylvania.

Financial: Allan Tepper is in private practice. He receives a speaking honorarium from PESI, Inc. Non-financial: Allan Tepper has no relevant non-financial relationship to disclose.



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If your profession is not listed, please contact your licensing board to determine your continuing education requirements and check for reciprocal approval. For other credit inquiries not specified below, or questions on home study credit availability, please contact cepesi@pesi.com or 800-844-8260 before the event.

Materials that are included in this course may include interventions and modalities that are beyond the authorized practice of mental health professionals. As a licensed professional, you are responsible for reviewing the scope of practice, including activities that are defined in law as beyond the boundaries of practice in accordance with and in compliance with your professions standards.

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Tennessee Counselors: CE credit is available. This course consists of 6.25 continuing education credit hours for Tennessee Counselors. The Rules of the Board for Licensed Professional Counselors, Licensed Marital and Family Therapists, and Licensed Clinical Pastoral Therapists. Section 0450-01-.12, confirm continuing education courses which pertain to the practice of counseling shall be considered approved if sanctioned by any state regulatory agency for professional counseling in the United States. PESI, Inc. is approved by the South Carolina Board of Examiners for Licensure of Professional Counselors, Marriage and Family Therapists, and Psycho-Educational Specialists. Provider #4540. PESI maintains responsibility for this program and its contents.

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Tennessee Marriage and Family Therapists: CE credit is available. This course consists of 6.25 continuing education credit hours for Tennessee Marriage and Family Therapists. The Rules of the Board for Licensed Professional Counselors, Licensed Marital and Family Therapists, and Licensed Clinical Pastoral Therapists, Section 0450-02-.12, confirm continuing education courses which pertain to the practice of Marital and Family Therapists shall be considered approved if sanctioned by any state regulatory agency for marital and family therapy in the United States, PESI, Inc. is approved by the South Carolina Board of Examiners for Licensure of Professional Counselors. Marriage and Family Therapists, and Psycho-Educational Specialists. Provider #4540. PESI maintains responsibility for this program and its

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