

Outline

Understand the Legal System

- Statutes
- Rules and regulations
- Case law
- Finding the law



Legal Exposure

- Criminal liability
- Civil liability
- Licensing complaints
- Organization complaints

Establish the Treatment Relationship

- The professional relationship
- The legal and ethical principle of informed consent
- Informed consent as part of the treatment modality
- Use of and reliance upon written consent forms

Record-Keeping Practices

- Record-keeping regulations
- Items which constitute the record, persona notes vs. charting, raw data, computer printouts and third-party records
- Access to records by written requests, subpoenas and court orders

The Ethics of Duty to Warn

- Duty to warn potential third-party victims
- Review of specific Tennessee case law governing danger to others
- A clinical approach to the duty to protect

Treatment of Minors

- Age of majority
- Consent to treatment and access to records
- Clinical implications in the treatment of the older adolescent
- Treatment of minors in situations of family separation and divorce

Non-Sexual Boundary Violations

- Email correspondence and cell phone contacts
- Treatment vs. advocacy for patients
- Professional contacts with attorneys and the legal profession
- In-court expert testimony

Live Seminar Schedule

- 8:00 Registration/Morning Coffee & Tea
- 8:30 Program begins
- 11:50-1:00 Lunch (on your own)
- 4:30 Program ends

There will be two 15 min breaks (mid-morning & mid-afternoon)  
Actual lunch and break start times are at the discretion of the speaker.  
A more detailed schedule is available upon request.

Objectives

- Determine specific state and administrative laws that are pertinent to establishing and maintaining an ethical clinical practice.
- Develop policies on ethical issues such as restraints, seclusion and informed consent.
- Determine which notes, documents, reports, forms, and clinical data constitute an official medical record in the state of Tennessee.
- Evaluate your current record-keeping practices in order to ensure compliance with ethical and legal requirements in the state of Tennessee.
- Designate the treatment provider's responsibilities relating to mental health issues and duty to warn law in Tennessee.
- Explore the legal and ethical considerations for the treatment of minor populations in Tennessee with regard to age of consent, confidentiality and custody concerns.

Target Audience:

Counselors • Social Workers • Psychologists • Case Managers • Addiction Counselors • Therapists  
Marriage & Family Therapists • Other Mental Health Professionals • Nurses

**Hassle-Free Cancellation Policy:** If you contact us before the event date, you can exchange for a DVD or CD/digital manual package on the subject (self-study continuing education credit may be available), a certificate to attend another seminar, or receive a tuition refund less a \$30 cancel fee. Substitutions are permitted at any time.

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Ethical Principles in the Practice of  
Tennessee  
Mental Health Professionals

Featuring Allan M. Tepper, Attorney and Psychologist

2019 UPDATES

MEMPHIS, TN  
Monday, September 9, 2019

KNOXVILLE, TN  
Wednesday, September 11, 2019

NASHVILLE, TN  
Tuesday, September 10, 2019

CHATTANOOGA, TN  
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Ethical Principles in the Practice of  
Tennessee  
Mental Health Professionals

Featuring Allan M. Tepper, Attorney and Psychologist

6.25 CE Hours of Ethics

2019 UPDATES

- Make ethics meaningful, practical & helpful
- Apply ethics to everyday practice
- What every Tennessee mental health professional needs to know!

"This was probably the most rewarding day-long seminar I have ever attended; the supplemental readings were most appreciated."

MEMPHIS, TN  
Monday, September 9, 2019

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# Ethical Principles in the Practice of *Tennessee* Mental Health Professionals

Many continuing education ethics programs are generic in nature. Many continuing education ethics programs stress risk management strategies to the detriment of the attendees, especially if an attorney presenter is involved.

This program is different. Rather than avoiding clinical issues, this program explores the manner by which clinical and ethical issues effect the everyday practice of the mental health professional in Tennessee. This unique blend of clinical and ethical concerns allows for a more meaningful understanding and interpretation of the rules that govern mental health practice. These goals are reached through the experience and the interactive style of the presenter, Allan M. Tepper, J.D., Psy.D. Different from other individuals who possess joint degrees in psychology and law, Dr. Tepper actually maintains an active psychology practice and an active law practice. He functions as a clinician, and he represents mental health professionals who experience legal difficulties. In this regard, Dr. Tepper brings an extremely unique perspective to the practical understanding of ethics. These goals also are reached through the manual utilized in the seminar. The manual materials are not generic in nature. All of the written materials are state specific to Tennessee. When interacting with an attorney, it sometimes is difficult to hear the words, “Trust me.” For this seminar, however, we do say, “Trust us!!” We guarantee, you won’t walk away disappointed.

## Speaker

**Allan M. Tepper, J.D., Psy.D.,** is engaged in the private practice of both law and psychology in Philadelphia, Pennsylvania. As a psychologist, he specializes in forensic matters and has testified on numerous occasions in cases involving civil, criminal, domestic relations, and death penalty issues. As an attorney, he has extensive trial experience, including the representation of mental health professionals in ethics and licensing board proceedings. He has been an adjunct faculty member at Widener University in the Institute for Graduate Clinical Psychology, and at the Philadelphia Chapter of Great Lakes Colleges Association, and an adjunct assistant professor at Immaculate College, Graduate School. Dr. Tepper conducts ethical training for mental health professionals throughout the United States and is well-known for his engaging style. He publishes frequently in the areas of law and psychology. He is co-author of *Pennsylvania Law and Psychology - Third Edition*, and *Pennsylvania Law, Ethics, and Psychology - Fourth Edition*. He received his J.D. degree from Albany Law School and received M.S. and Psy.D. degrees in clinical psychology from Hahnemann University in Pennsylvania. Visit his website at [www.allanmtepper.com](http://www.allanmtepper.com).

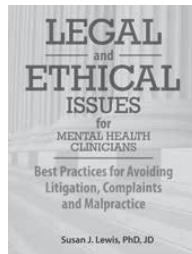
Speaker Disclosure:  
Financial: Allan Tepper is in private practice. He receives a speaking honorarium from PESI, Inc.  
Non-financial: Allan Tepper has no relevant non-financial relationship to disclose.

### Have a seminar idea? A manuscript to publish?

The nation's top speakers and authors contact PESI, Inc. first. If you are interested in becoming a speaker or have a new topic idea, please contact Josh Lindbald at [jlindbald@pesi.com](mailto:jlindbald@pesi.com) or call 715-855-5234.



\*SAVE BY INCLUDING THESE PRODUCTS WITH SEMINAR REGISTRATION!



### Legal and Ethical Issues for Mental Health Clinicians

Best Practices for Avoiding Litigation, Complaints and Malpractice  
By Susan Lewis, Ph.D., J.D.

Psychologist and attorney Susan J. Lewis, PhD, JD, brings her 25 years of clinical practice and legal expertise directly to you - with practical guidance, strategies, solutions and up-to-date information to help keep you out of court and jail. Dr. Lewis uses easy-to-understand language to step you through the legalese, highlighted by 30 engaging case examples to illustrate how you can overcome legal and ethical challenges that arise in your practice.



### Anti-Burnout Card Deck

54 Mindfulness and Compassion Practices To Refresh Your Clinical Work  
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*Materials that are included in this course may include interventions and modalities that are beyond the authorized practice of mental health professionals. As a licensed professional, you are responsible for reviewing the scope of practice, including activities that are defined in law as beyond the boundaries of practice in accordance with and in compliance with your professions standards.*

*PESI, Inc. offers continuing education programs and products under the brand names PESI, PESI Healthcare, PESI Rehab and Psychotherapy Networker.*

**ETHICS:** This seminar qualifies for 6.25 hours of general OR state specific ethics instruction. If ethics is not specified within your licensing board's approval statement below, please contact your board to determine the applicability and amount of ethics allowed.

**ADDITION COUNSELORS:** This course has been approved by PESI, Inc., as a NAADAC Approved Education Provider, for 6.0 CE in the Legal, Ethical and Professional Development skill group. NAADAC Provider #77553. PESI, Inc. is responsible for all aspects of their programming. Full attendance is required; no partial credit will be awarded for partial attendance.

**COUNSELORS:** For the most up-to-date credit information, please go to: [www.pesi.com/events/detail/72876](http://www.pesi.com/events/detail/72876).

**TENNESSEE COUNSELORS:** This intermediate activity consists of 6.25 clock hours of continuing education instruction. Credit requirements and approvals vary per state board regulations. Please contact your licensing board to determine if they accept programs or providers approved by other national or state licensing boards. A certificate of attendance will be awarded at the end of the program to participants who are in full attendance and who complete the program evaluation.

**MARRIAGE & FAMILY THERAPISTS:** This activity consists of 380 minutes of continuing education instruction. Credit requirements and approvals vary per state board regulations. You should save this course outline, the certificate of completion you receive from the activity and contact your state board or organization to determine specific filing requirements.

**NURSES/NURSE PRACTITIONER/CLINICAL NURSE SPECIALISTS:** PESI, Inc. is accredited as a provider of continuing nursing education by the American Nurses Credentialing Center's Commission on Accreditation.

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**SOCIAL WORKERS ETHICS:** PESI, Inc., #1062, is approved to offer social work continuing education by the Association of Social Work Boards (ASWB) Approved Continuing Education (ACE) program. Organizations, not individual courses, are approved as ACE providers. State and provincial regulatory boards have the final authority to determine whether an individual course may be accepted for continuing education credit. PESI, Inc. maintains responsibility for this course. ACE provider approval period: January 27, 2017 - January 27, 2020. Social Workers completing this course receive 6.25 Ethics continuing education credits. Course Level: Intermediate. Full attendance is required; no partial credits will be offered for partial attendance. A certificate of attendance will be awarded at the end of the program to social workers who complete the program evaluation.

**OTHER PROFESSIONS:** This activity qualifies for 380 minutes of instructional content as required by many national, state and local licensing boards and professional organizations. Save your course outline and certificate of completion, and contact your own board or organization for specific requirements.

QUESTIONS? Call **800-844-8260** or e-mail us at [info@pesi.com](mailto:info@pesi.com).

## How to Register:

ETHICAL PRINCIPLES IN THE PRACTICE OF TENNESSEE MENTAL HEALTH PROFESSIONALS

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**ADA NEEDS**  
We would be happy to accommodate your ADA needs; please call at least two weeks prior to the seminar date.

**WALK-INS**  
Walk-ins are welcome but admission cannot be guaranteed. Call M-F 7:00-6:00 Central Time for space availability if registering within one week of seminar.

### TUITION OPTIONS

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**3 Check tuition:**

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